

**DEVICE AND METHOD FOR EXTENDING CHARACTER REGION**  
**IN AN IMAGE**

**PRIORITY**

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This application claims priority under 35 U.S.C. § 119 to an application entitled "Device and Method for Extending Character Region in Image" filed in the Korean Intellectual Property Office on January 30, 2003 and assigned Serial No. 2003-6418, the contents of which are incorporated herein by reference.

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**BACKGROUND OF THE INVENTION**

**Field of the Invention:**

The present invention relates generally to a preprocessing device and method  
15 for recognizing image characters, and in particular, to a device and method for extending a character region in an image.

**Description of the Related Art:**

Generally, a preprocessing operation is performed to recognize image  
20 characters. The "preprocessing operation" refers to an operation of processing an image before recognition of the characters in the image. The image preprocessing operation can include an operation of deciding whether or not an input image is appropriate for character recognition, an operation of correcting the skew of an object in an input image, an operation of properly correcting the size of an input image, and an operation  
25 of binarizing an image signal (i.e., transforming an image function into a binary image) so that characters of the image signal can be recognized.

A device for recognizing image characters generally recognizes characters from an image. The image is divided into a character region and a background region,  
30 and no character is arranged in the background region. For example, assuming that a document to be subject to character recognition is a business card, an input image becomes an image of the business card. The input image includes a background region

outside the business card. In this case, it is possible to improve character recognition performance by extending the size of the image after removing the background region from the image. In addition, it is generally the case that no character region is included on the edges of the business card. Therefore, it is possible to improve recognition  
5 performance by searching for a position of a character region in a business card, removing regions other than the character region according to the search results, and then extending the character region by a percentage of the removed regions. Storing such preprocessed image contributes to an increase in memory efficiency.

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## **SUMMARY OF THE INVENTION**

It is, therefore, an object of the present invention to provide a device and method for removing a background region from an image and then extending the character region in an image signal processing device.

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It is another object of the present invention to provide a device and method for searching for a position of a character region in an image and removing regions outside the character region in an image signal recognition device.

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It is further another object of the present invention to provide a device and method for searching for a position of a character region in an image, removing regions outside the character region, and then extending the character region in an image signal recognition device.

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In accordance with one embodiment of the present invention, there is provided a device for extending a character region in an image. The device comprises an input part for receiving an input image, a block classification part for classifying the input image into character blocks and background blocks, and converting pixels in the character blocks into pixels having a first brightness value and pixels in the background  
30 blocks into pixels having a second brightness value, and a position search part for searching for left, right, top and bottom positions of a character region by horizontally and vertically scanning the block-classified image, and determining a position of the

character region. The device for extending a character region in an image further comprises a region of contents (ROC) extraction part for extracting an image in the determined position of the character region from the input image, and an ROC extension part for extending the extracted image of the character region to a size of the input  
5 image.

In accordance with another embodiment of the present invention, there is provided a device for extending a character region in an image. The device comprises an input part for receiving an input image, a block classification part for classifying the  
10 input image into character blocks and background blocks, and converting pixels in the character blocks into pixels having a first brightness value and pixels in the background blocks into pixels having a second brightness value, a median filter for performing median filtering on an image output from the block classification part to remove blocks erroneously classified as character blocks and a position search part for searching for  
15 left, right, top and bottom positions of a character region by horizontally and vertically scanning the median-filtered image, and determining a position of the character region. The device for extending a character region in an image further comprises an ROC extraction part for extracting an image in the determined position of the character region from the input image, and an ROC extension part for extending the extracted image of  
20 the character region to a size of the input image.

In accordance with a further embodiment of the present invention, there is provided a device for extending a character region in an image. The device comprises an input part for receiving an input image, a mean filter for performing mean filtering  
25 on the input image to blur the input image, a block classification part for classifying the mean-filtered image into character blocks and background blocks, and converting pixels in the background blocks into pixels having a first brightness value and pixels in the background blocks into pixels having a second brightness value, and a median filter for performing median filtering on an image output from the block classification part to  
30 remove blocks erroneously classified as character blocks. The device for extending a character region in an image further comprises a position search part for searching for left, right, top and bottom positions of a character region by horizontally and vertically

scanning the median-filtered image, and determining a position of the character region, an ROC extraction part for extracting an image in the determined position of the character region from the input image, and an ROC extension part for extending the extracted image of the character region to a size of the input image.

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In accordance with a further embodiment of the present invention, there is provided a device for extending a character region in an image. The device comprises an input part for receiving an input image, a mean filter for performing mean filtering on the input image to blur the input image, a block classification part for classifying the  
10 mean-filtered image into character blocks and background blocks, and converting pixels in the character blocks into pixels having a first brightness value and pixels in the background blocks into pixels having a second brightness value, and a subsampling part for subsampling pixels in the image output from the block classification part to reduce the number of the pixels. The device for extending a character region in an image  
15 further comprises a median filter for performing median filtering on the subsampled image to remove blocks erroneously classified as character blocks, an interpolation part for performing interpolating on the median-filtered image to extend the median-filtered image to a size of the input image, and a position search part for searching for left, right, top and bottom positions of a character region by horizontally and vertically scanning  
20 the block-classified image, and determining a position of the character region. The device for extending a character region in an image still further comprises an ROC extraction part for extracting an image in the determined position of the character region from the input image, and an ROC extension part for extending the extracted image of the character region to a size of the input image.

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In accordance with still another embodiment of the present invention, there is provided a method for extending a character region in an image. The method comprises the steps of receiving an input image, classifying the input image into character blocks and background blocks, and converting pixels in the character blocks into pixels having  
30 a first brightness value and pixels in the background blocks into pixels having a second brightness value, and searching for left, right, top and bottom positions of a character region by horizontally and vertically scanning the block-classified image, and

determining a position of the character region; extracting an image in the determined position of the character region from the input image. The method for extending a character region in an image further comprises extending the extracted image of the character region to a size of the input image.

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In accordance with still another embodiment of the present invention, there is provided a method for extending a character region in an image. The method comprises the steps of receiving an input image, classifying the input image into character blocks and background blocks, and converting pixels in the character blocks into pixels having  
10 a first brightness value and pixels in the background blocks into pixels having a second brightness value, performing median filtering on the block-classified image to remove blocks erroneously classified as character blocks, and searching for left, right, top and bottom positions of a character region by horizontally and vertically scanning the median-filtered image, and determining a position of the character region. The method  
15 for extending a character region in an image further comprises extracting an image in the determined position of the character region from the input image, and extending the extracted image of the character region to a size of the input image.

In accordance with still another embodiment of the present invention, there is  
20 provided a method for extending a character region in an image. The method comprises the steps of receiving an input image, performing mean filtering on the input image to blur the input image, classifying the mean-filtered image into character blocks and background blocks, and converting pixels in the background blocks into pixels having a first brightness value and pixels in the background blocks into pixels having a second  
25 brightness value, and performing median filtering on the block-classified image to remove blocks erroneously classified as character blocks. The method for extending a character region in an image further comprises searching for left, right, top and bottom positions of a character region by horizontally and vertically scanning the median-filtered image, and determining a position of the character region, extracting an image  
30 in the determined position of the character region from the input image, and extending the extracted image of the character region to a size of the input image.

In accordance with still another embodiment of the present invention, there is provided a method for extending a character region in an image. The method comprises the steps of receiving an input image, performing mean filtering on the input image to blur the input image, classifying the mean-filtered image into character blocks and background blocks, and converting pixels in the character blocks into pixels having a first brightness value and pixels in the background blocks into pixels having a second brightness value, and subsampling pixels in the block-classified image to reduce the number of the pixels. The method for extending a character region in an image further comprises performing median filtering on the subsampled image to remove blocks erroneously classified as character blocks, performing interpolating on the median-filtered image to extend the median-filtered image to a size of the input image, searching for left, right, top and bottom positions of a character region by horizontally and vertically scanning the block-classified image, and determining a position of the character region, extracting an image in the determined position of the character region from the input image, and extending the extracted image of the character region to a size of the input image.

## **BRIEF DESCRIPTION OF THE DRAWINGS**

The above and other objects, features and advantages of the present invention will become more apparent from the following detailed description when taken in conjunction with the accompanying drawings in which:

FIG. 1 is a block diagram illustrating a structure of a device for extending a character region in an image according to a first embodiment of the present invention;

FIG. 2 is a block diagram illustrating a structure of a device for extending a character region in an image according to a second embodiment of the present invention;

FIG. 3 is a block diagram illustrating a detailed structure of the block classification part of FIGs. 1 and 2 in accordance with an embodiment of the present invention;

FIG. 4A to FIG. 4C are diagrams illustrating a characteristic for determining a sum of absolute values of DCT coefficients in each block;

FIG. 5 is a flowchart illustrating a method for extending a character region in an image according to a first embodiment of the present invention;

FIG. 6 is a flowchart illustrating a method for extending a character region in an image according to a second embodiment of the present invention;

5 FIG. 7 is a flowchart illustrating a detailed method of the block classification process of FIGs. 5 and 6 in accordance with an embodiment of the present invention;

FIG. 8 is a flowchart illustrating a detailed method of the position search process of FIGs. 5 and 6 in accordance with an embodiment of the present invention;

FIG. 9 is a flowchart illustrating a method for extending a character region in  
10 an image according to an embodiment of the present invention; and

FIGs. 10A and 10H are diagrams illustrating images generated in the procedure of FIG. 9.

## **DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENT**

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In the following description, specific details such as the size of an image and sizes of character and background blocks are provided for a better understanding of the present invention. It would be obvious to those skilled in the art that the invention can be easily implemented without such specific details or by modifying the same.

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In embodiments of the present invention, an input image is assumed to have a size of 640×480 pixels. The term “block” means character and background blocks, and it is assumed herein that each of the blocks has a size of 8×8 pixels. In addition, the term “outside region” refers to unwanted regions other than a character region in the image.

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Preferred embodiments of the present invention will now be described in detail with reference to the annexed drawings.

FIG. 1 is a block diagram illustrating a structure of a device for extending a  
30 character region in an image according to a first embodiment of the present invention. Referring to FIG. 1, input part 110 has the function of receiving an input image. Input part 110 can be a camera, scanner, a communication interface including a modem and a

network, or a computer, as well as other devices.. It is assumed herein the input image is comprised of 640 (column) × 480 (row) pixels.

Block classification part 120 divides the input image received from the input  
5 part 110 into blocks having a preset block size, and classifies the divided blocks into character blocks and background blocks by analyzing pixels included in the divided blocks. The block classification part 120 then converts pixels in the classified character blocks into pixels having a specific value.

Median filter 130 performs median filtering on an image output from the block  
10 classification part 120 to remove erroneously classified character regions that are actually edges or image noise. After the image is subject to block classification, it can include isolated character blocks generated by edges or noises. The median filter 130 has the function of removing the erroneously classified character blocks (isolated character blocks) created in the block classification process by edges or image noise.

15 Position search part 140 horizontally and vertically scans the median-filtered image and searches for a position of the character region. The position search part 140 horizontally scans the median-filtered image and searches for a point x1 at the leftmost character block and a point x2 at the rightmost character block. The position search part 140 also vertically scans the median-filtered image, and searches for a point y1 at the  
20 topmost character block and a point y2 at the bottommost character block. A position of the character region in the image is determined according to a result of the search. In this case, the left top and right bottom points of the character region are (x1, y1) and (x2, y2). The left top and right bottom points (x1, y1) and (x2, y2) of the character region are based on the aspect ratio of the input image, such that distortion of the image  
25 can be prevented when an region-of-contents (ROC) extension part 160 extends the image.

An ROC extraction part 150 extracts an image of the character region searched by the position search part 140. The ROC extraction part 150 receives information  
30 associated with the left top and right bottom points (x1, y1) and (x2, y2) of the character region searched by the position search part 140, and extracts an image located between the left top and right bottom points (x1, y1) and (x2, y2) of the character region from the



input image output from the input part 110. Accordingly, an image output from the ROC extraction part 150 becomes an image of the character region in which the background region is removed from the input image.

5           The ROC extension part 160 extends the image of the extracted character region to the size of the input image. The image extension can be implemented by interpolation. In an exemplary embodiment of the present invention, the image extension can be implemented by bilinear interpolation, although, as those skilled in the art can appreciate, other methods can also be used to perform the interpolation. The  
10 image extension is achieved by the interpolation operation so that the size of the image of the extracted character region can be equal to that of the input image.

Recognition part 170 accesses the extended image and recognizes characters from the accessed image.

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Operation of the device for extending a character region according to the first embodiment of the present invention will now be described in detail. First, the block classification part 120 divides an input image into blocks, classifies the divided blocks into character blocks and background blocks, and converts the classified character  
20 blocks into pixels having a first brightness value and the classified background blocks into pixels having a second brightness value (binarization). The reason that the block classification part 120 classifies the blocks into character blocks and background blocks and then fills the classified character blocks and background blocks with pixels having different brightness values is to display character regions of the image. As mentioned  
25 above, it is assumed that each of the blocks has a size of 8×8 pixels. Following block classification, the median filter 130 then performs median filtering on the image output from the block classification part 120, to remove erroneously classified character blocks of the image. The median filter 130 performs the function of removing isolated character blocks erroneously classified as character blocks by image noise in the block  
30 classification process.

The position search part 140 searches for a position of a character region by

horizontally and vertically scanning the median-filtered image. The position search part 140 searches for a point  $x_1$  at the leftmost character block and a point  $x_2$  at the rightmost character block by horizontally scanning the median-filtered image, and stores the result values. Further, the position search part 140 searches for a point  $y_1$  at the topmost character block and a point  $y_2$  at the bottommost character block by vertically scanning the median-filtered image, and stores the result values. Thereafter, the position search part 140 determines left top and right bottom points  $(x_1, y_1)$  and  $(x_2, y_2)$  of the character region according to the search results. The left top and right bottom points  $(x_1, y_1)$  and  $(x_2, y_2)$  of the character region are determined based on the aspect ratio of the input image, such that distortion of the image can be substantially reduced or eliminated when the ROC extension part 160 extends the image. In the embodiment of the present invention, the left top  $(x_1, y_1)$  and right bottom  $(x_2, y_2)$  points of the character region are determined so that the ratio of width to length associated with the character region searched by the position search part 140 is 4:3 since the ratio of width to length associated with the input image is 4:3 (i.e., 640:480 pixels),.

The ROC extraction part 150 extracts the image of the character region searched by the position search part 140. The ROC extraction part 150 receives information associated with the left top  $(x_1, y_1)$  and right bottom  $(x_2, y_2)$  points of the character region searched by the position search part 140, and extracts an image located between the left top and right bottom points  $(x_1, y_1)$  and  $(x_2, y_2)$  of the character region from the input image output from the input part 110. On the basis of the left top and right bottom points  $(x_1, y_1)$  and  $(x_2, y_2)$  of the character region, the ROC extraction part 150 extracts, as character region pixels, pixels between the point  $x_1$  and the point  $x_2$  in the horizontal direction and pixels between the point  $y_1$  and the point  $y_2$  in the vertical direction from the input image. An output image from the ROC extraction part 150 becomes an image of the character region in which a background region is removed from the input image.

The ROC extension part 160 extends the image of the extracted character region to the size of the input image. Image extension can be implemented by interpolation. It is assumed herein that the image extension is implemented by bilinear

interpolation, though, as those skilled in the art can appreciate, other methods can also be used to perform the interpolation. The image extension is achieved by the interpolation operation so that the size of the image of the extracted character region becomes equal to that of the input image.

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The recognition part 170 receives the extended image output from the ROC extension part 160 and recognizes characters from the received image. Although the first embodiment of the present invention has been described wherein the proposed character region extension device serves as a preprocessor of a recognizer, the proposed  
10 character region extension device can be used as a device for editing an image and storing the edited image in an image processing device.

FIG. 2 is a block diagram illustrating a structure of a device for extending a character region in an image according to a second embodiment of the present  
15 invention. Referring to FIG. 2, input part 110 has the function of receiving an input image. Input part 110 can be a camera, scanner, a communication interface including a modem and a network, or a computer, as well as other devices.. It is assumed herein the input image is comprised of 640 (column)  $\times$  480 (row) pixels.

20 Mean filter 180 performs mean filtering on the input image and makes a blurred image. The mean filtering is performed to reduce the influence of the background region outside the character region in the block classification process that follows, by blurring the input image.

25 Block classification part 120 divides an image output from the mean filter 180 into blocks, analyzes pixels included in the divided blocks, classifies the blocks into character blocks and background blocks, and converts pixels in the character blocks into pixels having a specified value. The block classification part 120 classifies the blocks into character blocks and background blocks in order to extract a character region by  
30 converting the pixels in the character blocks into pixels having a specified value. Here, it is assumed that each of the blocks consists of 8 x 8 pixels.

Subsampling part 190 subsamples an output image from the block classification part 120 to reduce the number of image pixels. The subsampling part 190 reduces the number of image pixels in order to increase the filtering rate by decreasing the filter window in the following median filtering process. In the second embodiment  
5 of the present invention, it is assumed that the pixel reduction ratio is  $(2:1)^2$ . In this case, the subsampling part 190 performs 2:1 subsampling on horizontal pixels and performs 2:1 subsampling on vertical pixels, such that the number of image pixels in the image is reduced to  $\frac{1}{4}$  of the original value.

10 Median filter 130 performs median filtering on the image output from the subsampling part 190, and removes erroneously classified character blocks from the input image. The median filter 130 performs the function of removing the isolated character blocks erroneously classified as character blocks due to image noise in the block classification process.

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Interpolation part 195 performs interpolation on pixels in the image output from the median filter 130 to extend the image. In the second embodiment of the present invention, it is assumed that the interpolation ratio is  $(2:1)^2$ . Interpolation part 195 performs a 2:1 interpolation on horizontal and vertical pixels of the output image from  
20 the median filter 130 to extend the image four times. The interpolation operation is performed in order to search for the correct position of the character region and to extend the size of the image reduced by the subsampling process to that of the original image.

25 Position search part 140 horizontally and vertically scans the median-filtered image and searches for a position of the character region. The position search part 140 horizontally scans the median-filtered image and searches for a point x1 at the leftmost character block and a point x2 at the rightmost character block. Furthermore, the position search part 140 vertically scans the median-filtered image, and searches for a  
30 point y1 at the topmost character block and a point y2 at the bottommost character block. The position of the character region in the image is determined according to the result of the search. The left top and right bottom points of the character region are (x1,

y1) and (x2, y2). The left top and right bottom points (x1, y1) and (x2, y2) of the character region are determined based on the aspect ratio of the input image, such that distortion of the image can be substantially reduced or eliminated when the following ROC extension part 160 extends the image.

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ROC extraction part 150 extracts the image of the character region searched by the position search part 140. The ROC extraction part 150 receives information associated with the left top and right bottom points (x1, y1) and (x2, y2) of the character region searched by the position search part 140, and extracts an image located between  
10 the left top and right bottom points (x1, y1) and (x2, y2) of the character region from the input image output from the input part 110. Accordingly, the image output from the ROC extraction part 150 becomes an image of the character region in which the background region is removed from the input image.

15 The ROC extension part 160 extends the image of the extracted character region to the size of the input image. The image extension can be implemented by interpolation. It is assumed herein that the image extension is implemented by bilinear interpolation, though, as those skilled in the art can appreciate, other methods of interpolation can also be used. The image extension is achieved by the interpolation  
20 operation so that the size of the image of the extracted character region can be equal to that of the input image.

Recognition part 170 accesses the extended image and recognizes characters from the accessed image.

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Operation of the character region extension device according to the second embodiment of the present invention will now be described in detail. First, the input part 110 receives an image having a size of  $N \times M$  pixels. As mentioned above, it is assumed herein that the image has a size of  $640 (N) \times 480 (M)$  pixels. The input image can be a  
30 color image or a grayscale image not having color information. In the second embodiment of the present invention, it is assumed that the image is a grayscale image. The input part 110 for receiving the image can be a camera, a scanner, a communication

interface including a modem and a network, a computer, or another type of device that can provide an image. The input part 110 outputs the input image to the mean filter 180, that performs mean filtering on the input image, and makes a blurred image so that the background region outside the character region of the image does not affect the character region classification process by the block classification part 120, which follows the mean filter part 180. An example of such a mean filter is disclosed in a reference entitled "Digital Image Processing," by R. C. Gonzalez, R. Woods, et al., 2nd ed., Prentice Hall, pp. 119-123, 2002, the contents of which are incorporated herein by reference.

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The mean-filtered image is applied to the block classification part 120. The block classification part 120 divides the image output from the mean filter 180 into blocks, analyzes pixels contained in the blocks, classifies the blocks into character blocks and background blocks, and converts the pixels of the classified character blocks into pixels having a specified value.

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FIG. 3 is a block diagram illustrating a detailed structure of the block classification part 120 in accordance with an embodiment of the present invention. Referring to FIG. 3, an image division part 211 divides the image into blocks having a predetermined size. Here, the image consists of 640 x 480 pixels, and each of the blocks consists of 8 x 8 pixels. In this case, the image division part 211 divides the image into 4800 blocks.

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The blocks output from the image division part 211 are applied to a discrete cosine transform (DCT) conversion part 213, and the DCT conversion part 213 performs a DCT conversion on the blocks. An energy calculation part 215 calculates a sum of absolute values of dominant DCT coefficients within the DCT-converted blocks. In this case, the energy distribution value of the DCT coefficients within the character blocks are larger than that of the DCT coefficients within the background blocks. FIG. 4A is a diagram illustrating a comparison of energy distributions of DCT coefficients for the character blocks and the background blocks. In FIG. 4A, the Y axis represents an average of the absolute sums in a log scale, and the X axis represents a zigzag scan order of the DCT coefficients. As illustrated in FIG. 4A, it can be noted that DCT

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coefficients of the character blocks are larger in their average values than the DCT coefficients of the background blocks. FIG. 4B is a diagram illustrating an energy distribution characteristic of DCT coefficients for the character blocks. In FIG. 4B, the Y axis represents an average of the absolute sums in a normal scale, and the X axis represents a zigzag scan order of the DCT coefficients. As illustrated in FIG. 4B, it can be noted that the average of absolute sums of some DCT coefficients for the character blocks are relatively larger. Thus, in the first and second embodiments of the present invention, it is assumed that the dominant DCT coefficients used in the block classification process are  $D_1 \sim D_9$  shown in FIG. 4C. Accordingly, the sum of the absolute values of the dominant DCT coefficients in a  $k^{\text{th}}$  block can be calculated by

$$S^k = \sum_{i=1}^9 |D_i^k| \quad \dots\dots\dots (1)$$

In Equation (1),  $|D_i^k|$  denotes an  $i^{\text{th}}$  dominant DCT coefficient of the  $k^{\text{th}}$  block, and  $S^k$  denotes the sum of the absolute values of the dominant DCT coefficients in the  $k^{\text{th}}$  block. Thus, in the first and second embodiments of the present invention, the sum of the dominant DCT coefficients  $D_1 \sim D_9$  is calculated.

The energy calculation part 215 performs a calculation of Equation (1) on all blocks (at  $k = 0, 1, 2, \dots, 4799$ ). Thereafter, energy values  $S^k$  ( $k = 0, 1, 2, \dots, 4799$ ) calculated block by block are applied to a block threshold calculation part 217.

The block threshold calculation part 217 sums up the energy values  $S^k$  ( $k = 0, 1, 2, \dots, 4799$ ) calculated block by block, and produces an average  $\langle S^k \rangle$  by dividing the summed energy value by the total number (TBN) of blocks. The average value  $\langle S^k \rangle$  is produced in accordance with Equation (2) below. The average value  $\langle S^k \rangle$  becomes a block threshold  $Cth$  used for determining the blocks as character blocks or background blocks.

$$\begin{aligned} \langle S^k \rangle &= \frac{1}{TBN} \sum_{k=1}^{TBN} S^k \quad \dots\dots\dots (2) \\ &= Cth \end{aligned}$$

In Equation (2), TBN denotes the total number of blocks.

5            Classification part 219 sequentially receives the energy values (corresponding to sums of the absolute values of dominant DCT coefficients for the blocks) output from the energy calculation part 215 on a block-by-block basis. The classification part 219 classifies each corresponding block as a character block or a background block by comparing the received block energy values with a block threshold *Cth*. The  
10   classification part 219 classifies the  $k^{th}$  block as a character block (CB) if  $S^k \geq Cth$  and classifies the  $k^{th}$  block as a background block (BB) if  $S^k < Cth$  as shown in Equation (3).

$$\begin{aligned} &IF \ S^k \geq Cth \quad then \ CB \\ &\quad \quad \quad else \ BB \quad \dots\dots\dots (3) \end{aligned}$$

15            Pixels in the character blocks classified by the classification part 219 can have gray levels between 0 and 255. A block filling part 221 then converts pixels of a character block classified by the classification part 219 into pixels having the first brightness value, and converts pixels of a background block into pixels having the  
20   second brightness value. In the embodiment of the present invention, it is assumed that the block filling part 221 converts the pixels in the character block into white pixels, and converts the pixels in the background block into black pixels. Thus, the block filling part 221 fills the character blocks of the image with the white pixels and fills the background blocks of the image with the black pixels. The character blocks and  
25   background blocks are filled with pixels of different brightness values after the block classification part 120 classifies the blocks into the character blocks and background blocks in order to appropriately display character regions.

Thereafter, the subsampling part 190 subsamples the image output from the



block classification part 120 to reduce the number of horizontal and vertical pixels. The subsampling part 190 reduces the number of image pixels in order to increase the filtering rate by decreasing the filter window in the median filtering process that follows. In the second embodiment of the present invention, it is assumed that the pixel  
5 reduction ratio is  $(2:1)^2$ . In this case, the number of pixels of the output image from the block classification part 120 is reduced to  $\frac{1}{4}$  of that of the original value. Thus, the size of the reduced image is decreased to 320 x 240 pixels.

Following the subsampling performed by the subsampling part 190, the median  
10 filter 130 performs median filtering on the image output from the subsampling part 190, and removes background blocks and erroneously classified character blocks from the input image. The median filter 130 performs the function of removing the isolated blocks erroneously classified as character blocks due to the noise in the block classification process. An example of such a median filter is disclosed in a reference  
15 entitled "Fundamental of Digital Image Processing," by A. K. Jain, Prentice Hall, pp. 246-249, the entire contents of which are incorporated herein by reference.

After the median filtering on the image, the interpolation part 195 performs interpolation on horizontal and vertical pixels of an output image from the median filter  
20 130 to extend the image to the size of the input image. In the second embodiment of the present invention, it is assumed that an interpolation ratio  $(2:1)^2$ . The interpolation operation is performed in order to search for a correct position of the character region and to extend the size of the image reduced by the subsampling process to that of the original image.

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The position search part 140 horizontally and vertically scans the median-filtered image and searches for the position of the character region. The position search part 140 horizontally scans the median-filtered image, searches for a point x1 at the leftmost character block and a point x2 at the rightmost character block, and saves the  
30 result of the search. Furthermore, the position search part 140 vertically scans the median-filtered image, searching for a point y1 at the topmost character block and a point y2 at the bottommost character block, and stores the result of the search. The left

top and right bottom points (x1, y1) and (x2, y2) of the character region depend upon the results of the searches. The left top and right bottom points (x1, y1) and (x2, y2) of the character region are determined based on the aspect ratio of the input image, such that the distortion of the image can be substantially reduced or eliminated when the following ROC extension part 160 extends the image. In the second embodiment of the present invention, the left top and right bottom points (x1, y1) and (x2, y2) of the character region are determined so that a ratio of width to length associated with the character region searched by the position search part 140 becomes 4:3 since the ratio of width to length associated with the input image is 4:3 (i.e., 640:480 pixels)..

10

The ROC extraction part 150 extracts the image of the character region searched by the position search part 140. The ROC extraction part 150 receives information associated with the left top and right bottom points (x1, y1) and (x2, y2) of the character region searched by the position search part 140, and extracts an image located between the left top and right bottom points (x1, y1) and (x2, y2) of the character region from the input image output from the input part 110. On the basis of the left top and right bottom points (x1, y1) and (x2, y2) of the character region, the ROC extraction part 150 extracts, as character region pixels, pixels between the point x1 and the point x2 in the horizontal direction and pixels between the point y1 and the point y2 in the vertical direction. The image output from the ROC extraction part 150 becomes an image of the character region in which the background region has been removed from the input image.

The ROC extension part 160 extends the image of the extracted character region to the size of the input image. The image extension can be implemented by interpolation. In the second embodiment of the present invention, it is assumed that the image extension is implemented by a bilinear interpolation defined as:

$$\begin{aligned}
 v(x, y) = & (1 - \Delta x)(1 - \Delta y)u(m, n) + (1 - \Delta x) \Delta y u(m, n + 1) \\
 & + \Delta x(1 - \Delta y)u(m + 1, n) + \Delta x \Delta y u(m + 1, n + 1) \quad \dots\dots\dots (4) \\
 \text{where } & \Delta x = x - m \\
 & \Delta y = y - n
 \end{aligned}$$

Here, the image extension is achieved by the interpolation operation so that the size of the image of the extracted character region can be equal to that of the input image. This bilinear interpolation is disclosed in a reference entitled "Numerical Recipes in C," by W. H. Press, S. A. Teukolsky, et al., 2nd ed., Cambridge, pp. 123-125, 5 1988, the entire contents of which are incorporated herein by reference.

The recognition part 170 receives the extended image output from the ROC extension part 160 and recognizes characters from the received image. Although the 10 second embodiment of the present invention has been described with reference to an embodiment in which the proposed character region extension device serves as a preprocessor of a recognizer, the proposed character region extension device can be used as a device for editing an image and storing the edited image in an image processing device.

15

FIG. 5 is a flowchart illustrating a method for extending a character region in an image according to a first embodiment of the present invention. Referring to FIG. 5, it is determined in decision step 311 whether an input image is received. If an input image has been received ("Yes" path from decision step 311), the input image is divided 20 into blocks having a predetermined size, the divided blocks are classified into character blocks and background blocks, pixels in the classified character blocks are converted into pixels having a first brightness value, and pixels in the classified background blocks are converted into pixels having a second brightness value, in step 313. In step 315, the block-classified image is subject to median filtering to remove erroneously classified 25 character regions from the image. The median filtering process is performed to remove isolated character blocks left in the image as they are erroneously classified as character blocks due to noise in the block classification process.

In step 317, which follows step 315, the median-filtered image is horizontally 30 and vertically scanned to search for a position of the character region. The position search part 140 horizontally scans the median-filtered image, searches for a point x1 at the leftmost character block and a point x2 at the rightmost character block, and saves

the result values. The position search part 140 then vertically scans the median-filtered image, searches for a point  $y_1$  at the topmost character block and a point  $y_2$  at the bottommost character block, and saves the result values. Thereafter, left top and right bottom points  $(x_1, y_1)$  and  $(x_2, y_2)$  of the character region in the image are determined according to the search results. In this case, the left top and right bottom points  $(x_1, y_1)$  and  $(x_2, y_2)$  of the character region are determined based on the aspect ratio of the input image, such that distortion of the image can be prevented when the following ROC extension part 160 extends the image.

10 In step 319, the image of the searched character region is extracted from the input image. The ROC extraction part 150 receives information associated with the left top and right bottom points  $(x_1, y_1)$  and  $(x_2, y_2)$  of the character region searched by the position search part 140, and extracts the image located between the left top and right bottom points  $(x_1, y_1)$  and  $(x_2, y_2)$  of the character region from the input image output  
15 from the input part 110. On the basis of the left top and right bottom points  $(x_1, y_1)$  and  $(x_2, y_2)$  of the character region, the ROC extraction part 150 extracts, as an image of the character region, the image located between the point  $x_1$  and the point  $x_2$  in the horizontal direction and the image located between the point  $y_1$  and the point  $y_2$  in the vertical direction from the input image. The image output from the ROC extraction part  
20 150 becomes an image of the character region in which the background region is removed from the input image. Accordingly, the image output from the ROC extraction part 150 becomes the image of the character region in which an unwanted outside region is removed from the input image.

25 Thereafter, in step 321, the image of the extracted character region is extended to the size of the input image. The image extension can be implemented by interpolation. It is assumed herein that the image extension is implemented by the bilinear interpolation defined in Equation (4). The image extension is achieved by the interpolation operation so that the size of the image of the extracted character region can  
30 be equal to that of the input image.

In step 323, the extended image is output to the character recognition part 170,

and the character recognition part 170 recognizes characters from the extended image. When occasion demands, the extended image can be used in an image processing device that edits an image and saves the edited image.

5           FIG. 6 is a flowchart illustrating a method for extending a character region in an image according to a second embodiment of the present invention. Comparing FIG. 6 (and FIG. 2) with FIG. 5 (and FIG. 1), the character region extension method shown in FIG. 6 further includes the process of mean-filtering an image (using the mean filter part 180 shown in FIG. 2) before block classification, and subsampling (subsampler part 190  
10 in FIG. 2) and interpolation (interpolation part 195 in FIG. 2) processes before and after median filtering, in addition to the character region extension method shown in FIG. 1. The processes of FIG. 6 other than these immediately identified above processes are identical in operation to their corresponding processes shown in FIGs. 5.

15           Referring to FIG. 6, in decision step 311, the input part 110 receives an image having a size of  $N \times M$  pixels ("Yes" path from decision step 311). As discussed above, it is assumed herein that the image has a size of 640 (N)  $\times$  480 (M) pixels. The input image can be a color image or grayscale image not having color information. In the second embodiment of the present invention, it is assumed that the image is a grayscale  
20 image. Thereafter, in step 312, the image is subject to mean filtering to make a blurred image, so that the background region outside the character region of the image does not affect the character region classification process.

          Thereafter, in step 313, the mean-filtered image is divided into blocks having a  
25 preset size, pixels contained in the blocks are analyzed, the blocks are classified into character blocks and background blocks, and pixels of the classified character blocks are converted into pixels having a specified value.

          FIG. 7 is a flowchart illustrating a detailed procedure of the block classification  
30 process of step 313. Referring to FIG. 7, in step 411, the input image is divided into blocks having a predetermined size. Here, the image consists of 640  $\times$  480 pixels, and each of the blocks consists of 8  $\times$  8 pixels. In this case, the image is divided into 4800

blocks.

Thereafter, a block number BN is set to 0 in step 413, and a block with the block number BN is accessed in step 415. In step 417, the accessed block is subject to DCT conversion. In step 419, the sum  $S^k$  of the absolute values of dominant DCT coefficients within the DCT-converted block #BN is calculated using Equation (1), and then saved. In this case, the energy distribution value of the DCT coefficients within the character blocks is larger than that of DCT coefficients within the background blocks. Energy distributions of the DCT coefficients for the character blocks and the background blocks show the characteristic illustrated in FIG. 4A. Further, an energy distribution characteristic of DCT coefficients for the character blocks shows the characteristic illustrated in FIG. 4B. Therefore, the sum  $S^k$  of absolute values of the DCT coefficients in the  $k^{\text{th}}$  block can be calculated in accordance with Equation (1). Here, 'k' is the same parameter as BN, and denotes a block number. After the  $S^k$  is saved in step 419, it is determined in decision step 421 whether  $S^k$  of the last block is calculated. If  $S^k$  of the last block is not calculated yet ("No" path from decision step 421), the procedure increases the block number by one in step 423, and then returns to step 415 to repeat the above operation.

Through repetition of the steps 415 to 423, the respective blocks are subject to DCT conversion and the calculation of Equation (1) is performed on all blocks (at  $k = 0, 1, 2, \dots, 4799$ ). In step 425 (which proceeds from the "Yes" path of decision step 421), a threshold Cth is calculated using energy values  $S^k$  ( $k = 0, 1, 2, \dots, 4799$ ) calculated block by block. The energy values  $S^k$  ( $k = 0, 1, 2, \dots, 4799$ ) calculated block by block are summed, and an average  $\langle S^k \rangle$  is produced by dividing the summed energy value by the total number TBN of blocks. The average value  $\langle S^k \rangle$  is produced in accordance with Equation (2). The average value  $\langle S^k \rangle$  becomes a block threshold Cth used for determining the blocks as character blocks or background blocks.

After the threshold Cth is calculated, an operation of classifying the blocks into character blocks and background blocks is performed. For that purpose, a block number

BN is initialized to '0' in step 417, and  $S^k$  of a block with the block number BN is accessed in step 429. Thereafter, in decision step 431, the classification part 219 classifies a corresponding block as a character block or a background block by comparing  $S^k$  of the block with the block threshold  $Cth$ . The classification part 219  
5 classifies the  $k^{th}$  block as a character block if  $S^k \geq Cth$  ("Yes" path from decision step 431) and classifies the  $k^{th}$  block as a background block if  $S^k < Cth$  as shown in Equation (3) ("No" path from decision step 431).

Pixels in the classified character blocks can have gray levels between 0 and  
10 255. In the embodiments of the present invention, since only the character region is extracted from the image, it is necessary to definitely distinguish the character region from the background region in the block classification process. Therefore, if a corresponding block is classified as a character block in step 433, pixels in the classified character block are converted into pixels having a first brightness value in step 435.  
15 Otherwise, if a corresponding block is classified as a background block in step 437, pixels in the classified background block are converted into pixels having a second brightness value in step 439. In the embodiments of the present invention, it is assumed that the pixels in the character block are converted into white pixels, while the pixels in the background block are converted into black pixels. Thus, by filling the character  
20 blocks with the white pixels and filling the background blocks with the black pixels in the block classification process, the image is definitely distinguished into character blocks and background blocks.

After determining whether a block number (BN) is a character block or a  
25 background block, and the pixels therein are converted into pixels with a corresponding brightness value through steps 429 to 439, it is determined in decision step 441 whether the classified block is the last block. If the (BN) is not the last block ("No" path from decision step 441), the procedure increases the block number by one in step 443, and then returns to step 429 to repeat the above operation. When the above operation is  
30 completely performed ("Yes" path from decision step 441), the block classification results are output. After the image is divided into the blocks, an operation of classifying the blocks into character blocks and background blocks and correcting brightness values

of pixels in the classified blocks is performed.

When the block classification operation of FIG. 7 is performed in step 313 of FIG. 6, the image is classified into character blocks and background blocks. Further, 5 pixels in the classified character blocks are converted into white pixels, while pixels in the background character blocks are converted into black pixels. In this manner, pixels in the classified blocks of the image are corrected into white pixels or black pixels.

Thereafter, in step 314, the image is subject to subsampling to reduce the 10 number of horizontal and vertical pixels. The subsampling is performed to increase the filtering rate by decreasing the filter window in the following median filtering process. Assuming that the subsampling ratio is  $(2:1)^2$ , the horizontal and vertical pixels of the image are subject to 2:1 subsampling, so that the number of pixels is reduced to  $\frac{1}{4}$  of the original value. In this case, the size of the reduced image is 320 x 240 pixels. After the 15 subsampling is performed, the reduced image is subject to median filtering in step 315. The median filtering is performed to remove the isolated blocks left in the image as they are erroneously classified due to the edges or noise of the image. After the erroneously classified character blocks are removed by performing the median filtering, the horizontal and vertical pixels of the median-filtered image are subject to interpolation to 20 extend the image to a size of the input image in step 316.

Thereafter, in step 317, the interpolated image whose size is extended to its original size is horizontally and vertically scanned to search for a position of a character region. The position search part 140 horizontally scans the median-filtered image, 25 searches for a point  $x_1$  at the leftmost character block and a point  $x_2$  at the rightmost character block, and saves a result of the search. Furthermore, the position search part 140 vertically scans the median-filtered image, searches for a point  $y_1$  at the topmost character block and a point  $y_2$  at the bottommost character block, and stores a result of the search. The left top and right bottom points  $(x_1, y_1)$  and  $(x_2, y_2)$  of the character 30 region depend upon the results of the searches. The left top and right bottom points  $(x_1, y_1)$  and  $(x_2, y_2)$  of the character region are determined based on the aspect ratio of the input image, such that the distortion of the image can be substantially reduced or



eliminated when the following ROC extension part 160 extends the image. In the embodiments of the present invention, the left top and right bottom points (x1, y1) and (x2, y2) of the character region are determined so that the ratio of width to length associated with the character region searched by the position search part 140 becomes  
5 4:3 since the ratio of width to length associated with the input image is also 4:3 (i.e., 640:480 pixels)..

FIG. 8 is a flowchart illustrating a detailed procedure of the position search process of step 317. Referring to FIG. 8, the median-filtered image is received in step  
10 511, and a horizontal scan parameter HSN and a vertical scan parameter VSN are both initialized to '0' in step 513. Thereafter, a position with the HSV is scanned in step 515, and it is determined in decision step 517 whether the scanned position with the HSN is in a character region. If the scanned position with the HSN is in a character region ("Yes" path from decision step 517), an x coordinate value of the HSN is saved in step  
15 519. Thereafter, it is determined in decision step 521 whether the HSN is a value of the last horizontal (or rightmost) scan position. If the HSN is not a value of the last horizontal scan position ("No" path from decision step 521), the procedure determines the next horizontal scan position in step 523, and then returns to step 515 to repeat the above operation (steps 511-521). If horizontal scanning is completely performed on up  
20 to the last HSN horizontal scan position through repetition of the above operation, the completed horizontal scanning is detected in step 521. Thereafter, in step 525, a coordinate value x1 of a left position and a coordinate value x2 of a right position, scanned as a character region, are determined and saved.

25 A position with the VSN is scanned in step 527, and it is determined in decision step 529 whether the scanned position with the VSN is in a character region. If the scanned position with the VSN is in a character region ("Yes" path from decision step 529), a y coordinate value of the VSN is saved in step 531. Thereafter, it is determined in decision step 533 whether the VSN is a value of the last vertical (or  
30 bottommost) scan position. If the VSN is not a value of the last vertical scan position ("No" path from decision step 533), the procedure determines the next vertical scan position in step 535, and then returns to step 527 to repeat the above operation. If

vertical scanning is completely performed on up to the last VSN vertical position through repetition of the above operation (steps 527-531), the completed vertical scanning is detected in decision step 533. Thereafter, in step 537, a coordinate value  $y1$  of an upper position and a coordinate value  $y2$  of a lower position, scanned as a  
5 character region, are determined and saved.

Thereafter, in step 539, left top and right bottom points  $(x1, y1)$  and  $(x2, y2)$  of the character region in the image are determined according to the search results. The left top and right bottom points  $(x1, y1)$  and  $(x2, y2)$  of the character region are determined  
10 based on an aspect ratio of the input image, so that distortion of the image can be substantially reduced or prevented when the ROC extension part 160 extends the image. In this embodiment of the present invention, the left top and right bottom points  $(x1, y1)$  and  $(x2, y2)$  of the character region are determined so that the ratio of width to length associated with the character region searched by the position search part 140 is 4:3,  
15 since the ratio of width to length associated with the input image is also 4:3 (i.e., 640:480 pixels).. Therefore, if the determined positions of the character region are inconsistent with the aspect ratio of the input image, the positions of the character region are changed so that they are coincident with an aspect ratio of the input image.

20 In the above position search method, an initial character region is searched through horizontal scanning from the left to the right, and its position is saved as a value  $x1$ . Thereafter, the last character region is searched through horizontal scanning from the right to the left, and its position is saved as a value  $x2$ . Similarly, the initial character region is searched through vertical scanning from the top to the bottom using the same  
25 method, and its position is saved as a value  $y1$ . Thereafter, the last character region is searched through vertical scanning from the bottom to the top, and its position is saved as a value  $y2$ . In this manner, a position of the character region can be searched.

After the position of a character region is searched in step 317 of FIG. 6  
30 through the procedure of FIG. 8, an image corresponding to the position of the searched character region is extracted in step 319. An image located between the left top and right bottom points  $(x1, y1)$  and  $(x2, y2)$  of the character region is extracted from the

input image as the character region. Pixels in the extracted character region constitute an image existing between the point x1 and the point x2 in the horizontal direction and between the point y1 and the point y2 in the vertical direction in the input image. The pixels of the character region constitute an image of the character region in which the background region is removed from the input image.

After step 319, the image of the extracted character region is extended to a size of the input image in step 321. The image extension can be implemented by interpolation. In this embodiment of the present invention, it is assumed that the image extension is implemented by the bilinear interpolation defined as Equation (4). In step 323, the image of the extended character region can be output to the recognition part 170 or saved for other uses.

FIG. 9 is a flowchart illustrating a procedure for extending the character region in an image according to a further embodiment of the present invention. FIGs. 10A to 10H are diagrams illustrating images generated in the procedure of FIG. 9.

A device for extending a character region in an image according to an embodiment of the present invention will now be described with reference to FIGs. 9 and 10A to 10H. In step 600, the input part 110 receives an input image shown in FIG. 10A. It is assumed herein that the input image is comprised of 640 (column)  $\times$  480 (row) pixels and can be a grayscale image not having color information. In this embodiment of the present invention, it is assumed that the image is a grayscale image. Thereafter, in step 610, the mean filter 180 performs mean filtering on the input image of FIG. 10A, and generates a blurred image shown in FIG. 10B, so that the background region outside the character region of the image does not affect the character region classification process.

Thereafter, in step 620, the block classification part 120 divides the mean-filtered image into blocks having a predetermined size, analyzes pixels included in the divided blocks, classifies the blocks into character blocks and background blocks, and converts the pixels in the character blocks into pixels having a specified value. Through

the block classification, the image is classified into character blocks and background blocks, and pixels in the character blocks are converted to white pixels, and pixels in the background blocks are converted into black pixels. Thus, the image is filled with white or black pixels according to classified blocks. The image generated by the block  
5 classification part 120 is shown in FIG. 10C.

If the block-classified image of FIG. 10C is generated in step 620, the subsampling part 190 subsamples the block-classified image of FIG. 10C in step 630, and generates the image of FIG. 10D, in which the number of vertical and horizontal  
10 pixels are reduced. Subsampling is performed to increase the filtering rate by decreasing the filter window in the following median filtering process. FIG. 10D shows an image subsampled at a subsampling ratio of  $(2:1)^2$ . After the subsampling, the median filter 130 performs median filtering in step 640 on the subsampled image. The median filtering is performed to remove isolated character blocks erroneously classified as  
15 character blocks due to the edges or noise of the input image. The median-filtered image is shown in FIG. 10E. After the erroneously classified character blocks are removed through median filtering, the interpolation part 195 performs an interpolation in step 650 on the horizontal and vertical pixels in the median-filtered image of FIG. 10E. By performing the interpolation of step 650, the size of the image is extended to that of the  
20 input image as shown in FIG. 10F.

In step 660, position search part 140 horizontally and vertically scans the interpolated image of FIG. 10F, and searches for a position of the character region. The position search part 140 horizontally scans the median-filtered image and searches for a  
25 point x1 at the leftmost character block and a point x2 at the rightmost character block. Furthermore, the position search part 140 vertically scans the median-filtered image, and searches for a point y1 at the topmost character block and a point y2 at the bottommost character block. Thereafter, in step 670, the position search part 140 determines left top and right bottom points (x1, y1) and (x2, y2) of the character region  
30 in the image according to the results of the search. The left top and right bottom points (x1, y1) and (x2, y2) of the character region are determined based on the aspect ratio of the input image, such that the distortion of the image can be substantially reduced or

prevented when the following ROC extension part 160 extends the image.

After the position of the character region is searched, the ROC extraction part 150 extracts an image existing in the searched position of the character region from the input image of FIG. 10A in step 680. The character region is extracted by extracting the image existing between left top and right bottom points (x1, y1) and (x2, y2) of the character region from the image of FIG. 10A, and the extracted image is shown in FIG. 10G. The extracted image of the character region, shown in FIG. 10G, is located between the point x1 and the point x2 in the horizontal direction and between the point y1 and the point y2 in the vertical direction in the input image. The image of the character region becomes the image of the character region in which a background region is removed from the input image.

After the image of the character region is extracted, the ROC extension part 160 extends, in step 690, the image of the character region, shown in FIG. 10G, to a size of the input image as shown in FIG. 10H. The image extension can be implemented by interpolation. In the embodiments of the present invention, the image extension can be implemented by bilinear interpolation. In step 700, the extended image of FIG. 10H is output to the recognition part 170, or can be used for other purposes.

In the new image signal preprocessing operation as described above, the position of the character region in an input image is searched, the image of the searched character region is extracted, and the extracted image of the character region is extended to the size of the input image, so that only the character region is subject to character recognition, contributing to an improvement in recognition performance. In addition, the image is classified into character regions and background regions, and the blocks erroneously classified as character regions are removed to thereby improve search performance of a character region.

While the invention has been shown and described with reference to a certain preferred embodiment thereof, it will be understood by those skilled in the art that various changes in form and details may be made therein without departing from the

spirit and scope of the invention as defined by the appended claims.